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**INTEGRADA**

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**ASSOCIATION OF ORAL CONDITIONS AND  
POLYMORPHISMS IN THE *TNF* CLUSTER GENES WITH  
CHRONIC KIDNEY DISEASE AND MORTALITY**

**Curitiba**

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POLYMORPHISMS IN THE *TNF* CLUSTER GENES WITH  
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**Tese apresentada ao Programa de Pós-Graduação em Odontologia da Pontifícia Universidade Católica do Paraná, como parte dos requisitos para obtenção do título de Doutor em Odontologia, Área de Concentração em Clínica Odontológica Integrada (Ênfase em Estomatologia).**

**Orientador: Prof.<sup>a</sup> Dr.<sup>a</sup> Paula Cristina Trevilatto**

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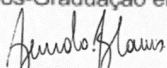
**ASSOCIAÇÃO DE CONDIÇÃO BUCAL E DE POLIMORFISMOS NOS GENES DO CLUSTER  
DO TNF COM DOENÇA RENAL CRÔNICA E MORTALIDADE**

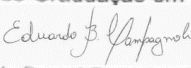
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1 **ARTIGO 1 - VERSÃO EM INGLÊS**

2 **TITLE PAGE**

3

4 **Association of oral conditions and polymorphisms in the *TNF* cluster**  
5 **genes with chronic kidney disease and mortality**

6

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17

18 **Short title:** Oral conditions and *LTA* polymorphisms in CKD

19

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28

29

30



1 **Abstract**

2 **Background:** Chronic Kidney Disease (CKD) is a complex condition. Exacerbation  
3 in the inflammatory mediators is associated with increased morbidity and mortality.  
4 The aim was to investigate the association of oral condition and genetic  
5 polymorphisms in the TNF cluster genes with risk to chronic kidney disease and the  
6 impact of genetic variables on mortality.

7 **Material and Methods:** This is a case-control study consisted of 242 subjects  
8 divided into 122 patients with CKD undergoing hemodialysis and 120 patients  
9 without the disease. Sociodemographic and clinical parameters of oral health were  
10 analyzed. Markers for full coverage in the TNF cluster genes were selected from  
11 information on the International HapMap Project website and genotyped. The  
12 hemodialysis patients' group was followed up for 5 years. For the multivariate  
13 analysis, the binary logistic regression model was adjusted and variables with the  
14 significance of  $p < 0.20$  were included. Survival analysis was done using the Kaplan-  
15 Meier model and compared using the Log-rank test. Values of  $p < 0.05$  were  
16 considered significant.

17 **Results:** Advanced age ( $p = 0.000$ ), male sex ( $p = 0.000$ ), smoking habit ( $p = 0.002$ ),  
18 and the G allele of rs2229094 of the LTA gene ( $p = 0.012$ ) were associated with CKD.  
19 LTA polymorphisms, rs2844482 in the additive and recessive models ( $p = 0.016$ ;  
20  $p = 0.007$  respectively) and rs2229094, in the recessive model ( $p = 0.029$ ), were  
21 associated with mortality in hemodialysis patients.

22 **Conclusions:** An association between sociodemographic and genetic aspects with  
23 CKD was found. LTA gene polymorphisms had also an impact on mortality of CKD  
24 patients in hemodialysis.

25

26 **Keywords:** Genetic polymorphism; chronic kidney failure, oral health, lymphotoxin-  
27 alpha, tumor necrosis factor-alpha.

28

29

30

## 1 1. Introduction

2 Chronic Kidney Disease (CKD) is a complex condition in which environmental and  
3 genetic factors are involved<sup>1-4</sup>. The chronic renal patient has physiological  
4 alterations due to loss of renal function, leading to an increase in the production of  
5 inflammatory cytokines, such as interleukin 1 and 6 (IL-1 and IL-6), tumor necrosis  
6 factor (TNF), C-reactive protein, and oxidative stress markers<sup>1,5</sup>. However, the  
7 systemic inflammatory burden in individuals with CKD may vary and cannot be  
8 explained only by factors such as hemodialysis or clinical procedures<sup>3,6</sup>.  
9 Exacerbation in the production of inflammatory mediators has been associated with  
10 increased morbidity and mortality in this group of patients<sup>4</sup>.

11 Several factors contribute to the presence of chronic inflammation in CKD  
12 patients, including poor oral health<sup>7</sup> and genetic background<sup>2</sup>. Poor oral health  
13 status is related to chronic kidney disease as a source of inflammation and  
14 contributing to complications that result in morbidity and mortality observed in end-  
15 stage patients<sup>8</sup>.

16 In CKD, chronic inflammation is mediated by cytokines<sup>1,5</sup>, low molecular  
17 weight glycoproteins that participate in numerous immunological processes,  
18 including pro and anti-inflammatory activities. The *TNF cluster* is composed of the  
19 *LTA*, *TNFA*, and *LTB* genes, located on the short arm of 6 chromosome, which  
20 encode the cytokines LT- $\alpha$ , TNF- $\alpha$ , and LT- $\beta$ , respectively<sup>9</sup>. LT- $\alpha$  and LT- $\beta$  are  
21 secreted by lymphocytes, the first acts locally as a mediator of the acute  
22 inflammatory response, recruiting and activating neutrophils, but it is produced in  
23 small quantities<sup>9,10</sup>. The second is a transmembrane protein that acts as an anchor  
24 for LT- $\alpha$ . Besides, LT- $\beta$  may be an important regulator of the inflammatory  
25 response<sup>9</sup>. TNF- $\alpha$  is secreted by macrophages and participates in numerous  
26 processes of the immune-inflammatory response. When produced at high levels it  
27 can cause excessive inflammation and tissue damage locally or systemically<sup>11</sup>.

28 It has been suggested that polymorphisms may alter gene expression and/or  
29 cytokine production. Moreover, SNPs may influence the phenotype and outcome in  
30 CKD patients<sup>3</sup>. The investigation of genetic polymorphisms in the *TNF* cluster genes  
31 becomes interesting since those genes play an important role in the pro-  
32 inflammatory and immunological response.

1 Single nucleotide polymorphisms in the *LTA*, *TNFA*, and *LTB* genes have  
2 been associated with the development and progression of different diseases, such  
3 as cardiopathy associated with Chagas Disease<sup>12</sup>, rheumatoid arthritis<sup>13</sup>, and  
4 tuberculosis<sup>14</sup>. Further, SNPs in the *TNFA* gene were associated with CKD  
5 complications <sup>15,16</sup> and death risk <sup>17</sup>. This study hypothesized that oral condition and  
6 polymorphisms in the *TNF cluster* genes could be related to risk and prognostic in  
7 chronic kidney disease.

8 Thus, this study aimed to investigate the association of oral aspects and  
9 polymorphisms in the *TNF cluster* genes with CKD and mortality in hemodialyzed  
10 patients.

## 12 **2. Material and Methods**

### 13 *2.1 Study population*

14 The first part of this study comprised an observational, cross-sectional study with a  
15 sample composed of 242 subjects from the Southern region of Brazil of both  
16 genders. A sample was divided into case group, with 122 patients with stage 5 CKD  
17 undergoing hemodialysis (HD), selected at the Pro-Renal Foundation of Curitiba  
18 (Pro-renal) and control group, with 120 subjects without CKD [glomerular filtration  
19 rate > 90 mL/min/1.73m<sup>2</sup> estimated according to MDRD (*Modification of Diet in*  
20 *Renal Disease*)], selected at the Dentistry Clinics of the Pontifical Catholic  
21 University of Paraná (PUCPR). The data were collected in the period from August  
22 2004 to April 2008 (around sixty months).

23 Subjects were not included when had chronic use of anti-inflammatory drugs,  
24 HIV infection, immunosuppressive chemotherapy, systemic active infection, current  
25 or lactating pregnancies, with diseases of hard or soft oral tissues (except caries  
26 and periodontal disease), with use of orthodontic appliances, and with the presence  
27 of gingivitis and/or necrotizing ulcerative periodontitis.

28 Informed consent was obtained from all individual participants included in the  
29 study, with the protocol approved by the Research Ethics Committee of PUCPR  
30 (CAAE 25141813.4.0000.0020).

### 32 *2.2 Clinical and sociodemographic parameters*

1 All patients answered a questionnaire to inform the baseline parameters such as  
2 age, sex, smoking habit, the main cause of CKD, and systemic conditions.

3

### 4 *2.3 Oral condition parameters*

5 The following dental health parameters were evaluated: dentist visit frequency,  
6 flossing, toothbrushing frequency, plaque index (PI)<sup>18</sup>, calculus index (CI)<sup>19</sup>, gingival  
7 index (GI)<sup>18</sup>, presence of periodontal disease (PD), mobility and xerostomia.

8 Dentist visit frequency was registered as quarterly/semester or  
9 annually/rarely. Flossing was answered by the patient as “yes” or “no”.  
10 Toothbrushing was answered by the patients as less than three times a day or  
11 equal/more than three times a day. For PI, CI, and GI, each tooth received a score  
12 ranging from 0 to 3. The scores were recorded, added, and divided by the number  
13 of teeth to obtain an individual index for each patient. The average for the indices  
14 was carried out within the groups and later dichotomized for better visualization of  
15 the results. The diagnosis of chronic periodontal disease (PD) was made based on  
16 clinical parameters<sup>20</sup>. Mobility was verified by the evaluator and recorded as “yes”  
17 or “no”.

18

### 19 *2.4 DNA collection and purification*

20 DNA was obtained from buccal epithelial cells<sup>21</sup>. The participants did a mouthwash  
21 with 5 mL of 3% glucose solution for 1 minute. Then, a sterile wood spatula was  
22 used to scrape the buccal mucosa. Oral epithelial cells were pelleted by  
23 centrifugation at 2000 rpm for 10 minutes. The supernatant was discarded, and the  
24 cell pellet resuspended in 1.3 ml of extraction buffer [10 mM Tris–HCl (pH 7.8), 5  
25 mM ethylenediaminetetraacetic acid (EDTA), and 0.5% sodium dodecyl sulfate  
26 (SDS)]. Ten microliters of proteinase K (20 mg/ml) were added to the solution, and  
27 this was incubated overnight at 65°C. DNA was purified with 10 M ammonium  
28 acetate and 1 mM EDTA<sup>22</sup>.

29

### 30 *2.5 Selection of markers and genotyping*

31 Tag SNP markers, which capture the whole gene information, were selected  
32 according to the information available on the *International HapMap Project* website.  
33 The release 24/phase 2\_Nov08 was used, and the selected markers showed a

1 minimal allelic frequency of 5% in the African population (YRI). A cutoff of  $r^2 \geq 0.8$  of  
2 linkage disequilibrium (LD) was used. After applying the above criteria, six tag SNPs  
3 for *LTA* (rs2844482, rs2009658, rs2071590, rs2229094, rs2516312, rs3093542),  
4 two for *TNFA* (rs1800629 and rs2228088), and one for *LTB* (rs3093553) were  
5 selected.

6 The tag SNPs were genotyped using polarized fluorescence (ABI 7500  
7 platform) by real-time PCR technique using the TaqMan™ Genotyping Master Mix  
8 (Applied Biosystems 7500 Real-Time PCR System).

9

## 10 2.6 Survival analysis

11 In the second part of this study, the case group patients (n=117) were followed  
12 prospectively for 5 years. During this prospective follow-up data were collected only  
13 for the primary endpoint (mortality due to any causes) or end of the study. Five  
14 patients had an incomplete dataset and, therefore, they were excluded from the  
15 analysis.

16

## 17 2.7 Statistical analysis

18 The Haploview 4.2 software was used to estimate the Hardy-Weinberg equilibrium,  
19 to establish the associated allele or reference allele for each tag SNP, and to  
20 analyze the LD between the SNPs of the *LTA*, *TNFA*, and *LTB* genes.

21 SPSS version 20.0 was used for statistical analysis. The nominal variables  
22 were expressed in number and frequency and analyzed by Pearson's chi-square  
23 test. Continuous variables were expressed as mean and standard deviation using  
24 the t-test for independent samples.

25 Multivariate analysis was performed using the binary logistic regression  
26 model by the *backward conditional* method and variables with the significance of  
27  $p < 0.20$  were included, the model was adjusted for variables with clinical impact.  
28 Univariate survival analysis was done using the Kaplan-Meier model. Survival in  
29 different genotype groups was compared using the Log-rank test. Values of  $p < 0.05$   
30 were considered significant.

31

## 32 2.8 Power Calculation

1 The calculation of the sample power was performed using the Genetic Power  
2 Calculation<sup>23</sup> setting as parameters: prevalence of hemodialysis patients of 0.061%  
3 in the Brazilian population<sup>24</sup>, effect size of 2.0 for carrying one copy of the risk allele  
4 and 4.0 for carrying two copies of the risk allele, D'= 1, frequency of the rare allele  
5 of 30%, 1: 1 case and control ratio and level of significance of 0.05, with the power  
6 variation being dependent on the relative risk of the rare allele.

### 7 **3. Results**

#### 8 *3.1 Clinical and sociodemographic parameters*

9 The clinical and sociodemographic characteristics of the sample were described in  
10 Table 1. In this study, the mean age for the case group was 49.74±12.83 and for  
11 the control group, 39.93±9.51. The variables higher age ( $p=0.000$ ), male sex  
12 ( $p=0.000$ ), and smoking ( $p=0.000$ ) were associated with CKD in the study  
13 population. The ethnic variable showed no significant difference between groups.  
14 The main cause of CKD was chronic glomerulonephritis (33.6%), followed by  
15 diabetic nephropathy (18.0%), and hypertensive nephropathy (11.5%). About  
16 systemic conditions, most patients with CKD were hypertensive (73.0%) and had  
17 hepatitis (23.3%), CVD (22.1%), and diabetes (21.3%).

18

#### 19 *3.2 Oral condition parameters*

20 In the case group, 69 (56.6%) did not use dental floss ( $p=0.005$ ) and 109 (89.3%)  
21 performed brushing less than three times a day ( $p=0.001$ ). However, about dentist  
22 visit frequency, 66 renal patients (54.1%) reported visiting the dentist  
23 annually/rarely against 102 (85%) in the control group ( $p=0.000$ ). The variables PI,  
24 CI, GI, and presence of PD did not show significant differences between the groups.  
25 In the CKD group, dental mobility was observed in 48 patients (39.3%) ( $p=0.003$ )  
26 (Table 2).

27

#### 28 *3.3 Genetic analysis*

29 The genotypic frequencies of all the markers tested were in Hardy-Weinberg  
30 equilibrium in the control group. The distribution of the genotypic frequencies in the  
31 additive, dominant, and recessive models for the tag SNPs in the *LTA*, *TNFA*, and  
32 *LTB* genes is shown in table 3. Some failures in genotyping were observed and the

1 individuals excluded from the analysis, justifying the variable sample number  
2 differently observed in each one of the genotypic models studied.

3 The LD map showed that rs2844482 and rs2009658 of the *LTA* gene had  
4  $r^2=1.00$ , (Fig. 1). For the Brazilian population, only one of them should be genotyped  
5 in future analyzes because they are in perfect LD.

6

### 7 *3.4 Multivariate analysis*

8 The multivariate analysis by the backward conditional method was performed. The  
9 variables: age ( $p=0.000$ ), sex ( $p=0.000$ ), smoking habits ( $p=0.002$ ), and the  
10 recessive model for the G allele of rs2229094 ( $p=0.012$ ) of the *LTA* gene remained  
11 associated with CKD (Table 4).

12

### 13 *3.5 Survival analysis*

14 The survival analysis showed there were 33 (28.2%) death events in the  
15 hemodialysis patients during de follow-up period. Overall, nine tag SNPs in the *TNF*  
16 *cluster* were analyzed and after the Log-rank test, only two polymorphisms  
17 (rs284482 and rs2229094) in the *LTA* gene were associated with mortality.

18 Figure 2 illustrates the only significant survival curves for each tag SNPs in  
19 the *LTA* gene. The G allele for the rs2229094 (in the recessive model) was  
20 associated ( $p=0.029$ ) with mortality in hemodialysis patients during the follow-up.  
21 Furthermore, the rs2844482, allele T in the additive and recessive models  
22 respectively associated with mortality of CKD patients ( $p=0.016$ ;  $p=0.007$ ).

23 There was no significant association between *LTB* and *TNFA* polymorphisms  
24 and mortality in this group of patients.

25

### 26 *3.6 Results of power calculation*

27 The analysis of the genetic power of the sample is directly dependent on the  
28 frequency of the risk allele. Thus, for the risk allele frequency of 0.3, the sample  
29 power was 95% (detailed in Table 5).

#### 1 4. Discussion

2 Chronic kidney disease is a complex condition in which different factors interact for  
3 development and progression. Inflammation is a known and well-established risk  
4 factor, directly associated with the prognosis of patients<sup>3,4</sup>.

5 In this study, CKD patients were approximately ten years older (49.7) than  
6 the control group (39.9) and were mostly male. Although the prevalence of CKD is  
7 higher in females, men appear to progress faster to end-stage chronic kidney  
8 disease<sup>25</sup>. The influence of sex on the progression of CKD is not well understood  
9 yet. However, some differences may be associated with the individual's biological  
10 component<sup>26</sup>.

11 This study reinforces the interaction between smoking and CKD. Smoking  
12 accelerates the progression of renal disease and increases the risk for CVD<sup>27</sup>.  
13 Evidence shows up to 50% increased the risk for tobacco related CKD<sup>28</sup>. The habit  
14 results in renal fibrosis, consequently contributing to a rapid decline in renal  
15 function<sup>29</sup>. These changes due to smoking increase the risk of mortality in patients  
16 with CKD, due to cardiovascular and other causes<sup>27</sup>.

17 In the univariate analysis, the results showed that there were low rates of  
18 tooth brushing and flossing in the studied population. The status of oral health in  
19 patients with CKD has already been reported<sup>30</sup>. However, the increased frequency  
20 of visits to the dentist observed, different from what the literature shows, is justified  
21 because they receive close dental care assistance at the Pro-Renal Foundation in  
22 Curitiba/PR, where CKD patients perform their treatment. Frequent dental visits and  
23 treatment of oral infectious loci have been reported as fundamental to reduce  
24 systemic inflammatory conditions in this particular group of patients<sup>7,31</sup>. Further, the  
25 results showed that 39.9% of patients with CKD presented mobility, probably  
26 because of PD. The oral inflammatory disease, such as gingivitis and PD, are the  
27 most common manifestations of poor oral health and are considered a focus of  
28 inflammation<sup>8,32</sup>. The impact of oral health on systemic health has been  
29 discussed<sup>33</sup>. Frequent dental visits and treatment of oral infectious loci to reduce  
30 systemic inflammatory conditions in this group of patients have been reported as  
31 fundamental<sup>7,31</sup>. Despite the lack of association of oral health variables in the  
32 multivariate analysis, the results may reinforce the importance that understanding



1 the interaction between oral health and systemic health is essential to maintain the  
2 quality of life of the patient on hemodialysis.

3 The pathophysiology of chronic kidney disease is well known and studied.  
4 Cytokines link to their receptors located in the cell membrane and regulate the  
5 transcription of several genes leading to changes in the renal tissues<sup>1</sup>. *LTA* gene  
6 encodes LT- $\alpha$ , a proinflammatory cytokine that shares a signaling network with  
7 TNF- $\alpha$ <sup>9</sup>. The role of LT- $\alpha$  in the pathophysiology of kidney disease is little discussed  
8 in the literature. In this study, the association between the allele G (in the recessive  
9 model) of rs2229094 in the *LTA* gene and risk for CKD was found. This same tag  
10 SNP was also associated with different conditions<sup>34–37</sup>, however, there is no other  
11 study in the literature investigating the association of this polymorphism with CKD  
12 in other populations. Further, there was no association of *TNF* and *LTB* genes  
13 polymorphisms with CKD in the studied population.

14 It has been suggested that elevated levels in inflammatory markers predict  
15 poor prognosis and mortality in dialysis patients<sup>3</sup>. Thus, polymorphisms in the *LTA*,  
16 *TNFA*, and *LTB* genes could be associated with mortality in this group of patients.  
17 After the survival analysis, the polymorphisms rs2844482 and rs2229094, in the  
18 *LTA* gene, are described for the first time as risk factors for mortality in hemodialysis  
19 patients.

20 However, this study has limitations. The frequency of the rarer alleles was  
21 low for some tag SNPs. Although the calculation of genetic power shows that the  
22 sample size is adequate, the replication of this study in other populations is  
23 encouraged to better elucidate the role of these genes influencing the susceptibility  
24 to CKD and the outcome in patients on hemodialysis.

25 It was concluded that sociodemographic aspects, smoking habit, and  
26 rs2229094 (allele G, in the recessive model) of the *LTA* gene were associated with  
27 CKD in the studied Brazilian population. Also, the polymorphisms rs2844482 and  
28 rs2229094 of the *LTA* gene were associated with mortality in hemodialysis patients  
29 and might be suggested as markers of bad prognosis for CKD.

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1 **Declarations**

2 ***Funding Sources***

3 Funding: This study was supported by grants from the National Council for Technological and  
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6  
7 ***Conflict of interest***

8 The authors declare that they have no conflict of interest.

9  
10 ***Availability of data and material***

11 Data will be made available upon request

12  
13 ***Ethics approval***

14 Informed consent was obtained from all participants included in the study, with the protocol approved  
15 by the Research Ethics Committee of PUCPR (CAAE 25141813.4.0000.0020). The study was  
16 performed by the ethical standards as laid down in the 1964 Declaration of Helsinki and its later  
17 amendments or comparable ethical standards.

18  
19 ***Consent***

20 Informed consent was obtained from all individual participants included in the study.

21  
22 ***Authors' contributions***

23 All authors contributed to the study conception and design. The first author, Valéria Kruchelski Huk  
24 de Andrade drafted the article and participated in the interpretation of data. The second author  
25 Márcia Olandoski analyzed data and, also, participated in the interpretation of data. The authors  
26 Miguel Carlos Riella and Roberto Pecoits-Filho provided intellectual content of critical importance to  
27 the work described, especially about renal disease and diagnosis. Cleber Machado de Souza  
28 participated in the conception and design of the study and revised the article. The last author, Paula  
29 Cristina Trevilatto, provided intellectual content of critical importance to the work and revised the  
30 final version. All authors read and approved the final manuscript and are accountable for all aspects  
31 of this study.

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1 **Tables**

2 **Table 1** Clinical and sociodemographic variables for groups case and control.

General Data	Case (n=122)	Control (n=120)	p-value	OR (CI 95%)
<b>Age<sup>a</sup></b>	49.74 ± 12.83	39.93 ± 9.51	0.000*	-
<b>Sex<sup>b</sup></b>				
Male	81 (66.4)	38 (31.7)	0.000**	2.04 (1.54-2.69)
Female	41 (33.6)	82 (68.3)		
<b>Ethnic Group<sup>b</sup></b>				
Caucasi	87 (71.3)	94 (78.3)	0.208**	1.45 (0.81-2.61)
Non-caucasian	35 (28.7)	26 (21.7)		
<b>Smoking habits<sup>b</sup></b>				
Yes	28 (23.0)	8 (6.7)	0.000**	4.17 (1.81-9.58)
No	94 (77.0)	112 (93.3)		
<b>Main cause of CKD<sup>b</sup></b>				
Chronic glomerulonephritis	41 (33.6)	0 (0.0)	0.000**	-
Diabetic nephropathy	22 (18.0)	0 (0.0)	0.000**	-
Hypertensive Nephropathy	14 (11.5)	0 (0.0)	0.000**	-
Others	40 (16.4)	0 (0.0)	0.000**	-
<b>Systemic condition<sup>b</sup></b>				
Anemia	41 (33.6)	3 (2.5)	0.000**	
Hypertension	87 (71.3)	2 (1.7)	0.000**	-
Hepatitis	28 (23.0)	2 (1.7)	0.000**	-
Cardiovascular Disease	27 (22.1)	2 (1.7)	0.000**	-
Diabetes	26 (21.3)	2 (1.7)	0.000**	-

3 <sup>a</sup>Mean ± Standard Deviation  
4 <sup>b</sup>Absolute Number (%)  
5 OR: Odds Ratio  
6 CI (confidence interval)  
7 \*p-value for T test for independent samples  
8 \*\*p-value for Pearson *qui*-square test  
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1 **Table 2** Oral condition variables for groups case and control.

General Data	Case (n=122)	Control (n=120)	p-value	OR (CI 95%)
<b>Dentist Visit Frequency<sup>b</sup></b>				
Quarterly/Semester	56 (45.9)	18 (15.0)	0.000*	0.20 (0.11-0.39)
Annually/rarely	66 (54.1)	102 (85.0)		
<b>Flossing<sup>b</sup></b>				
Yes	53 (43.4)	74 (61.7)	0.005*	2.09 (1.25-3.50)
No	69 (56.6)	46 (38.3)		
<b>Toothbrushing ≤ 3 times/day<sup>b</sup></b>				
Yes	109 (89.3)	88 (73.3)	0.001*	3.04 (1.50-6.15)
No	13 (10.7)	32 (26.7)		
<b>Plaque Index (PI)<sup>b</sup></b>				
< 1	34 (27.9)	33 (27.5)	0.949*	0.98 (0.55-1.72)
≥ 1	88 (72.1)	87 (72.5)		
<b>Calculus Index (CI)<sup>b</sup></b>				
=0	96 (78.7)	102 (85.0)	0.203*	1.53 (0.79- 2.97)
>0	26 (21.3)	18 (15.0)		
<b>Gingival Index (GI)<sup>b</sup></b>				
< 1	82 (67.2)	75 (62.5)	0.443*	0.81 (0.47-1.37)
≥ 1	40 (32.8)	45 (37.5)		
<b>Presence of PD<sup>b</sup></b>				
Yes	73 (59.8)	58 (48.3)	0.073*	1.59 (0.95-2.65)
No	49 (40.2)	62 (51.7)		
<b>Mobility<sup>b</sup></b>				
Yes	48 (39.3)	26 (21.7)	0.003 <sup>a</sup>	2.34 (1.33-4.13)
No	74 (60.7)	94 (78.3)		
<b>Xerostomia<sup>b</sup></b>				
Yes	55 (45.1)	16 (13.3)	0.000*	2.69 (1.72-4.23)
No	67 (54.9)	104 (86.7)		
<b>DMF-T Index<sup>a</sup></b>	34.00 ± 9.26	19.02 ± 6.93	0.121**	-

2 <sup>a</sup> Mean ± Standard Deviation

3 <sup>b</sup> Absolute Number (%)

4 OR: Odds Ratio

5 CI (confidence interval)

6 \* p-value for Pearson qui-square test

7 \*\*p-value for T test for independent samples

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11 **Table 3.** Genetic analysis of *LTA*, *LTB* and *TNFA* genes in the additive, dominant  
12 and recessive models

Gene	dbSNP <sup>a</sup>	Genetic Model	Genotypes	Groups - n (%)		p-value	OR (CI 95%)
				Case	Control		
<i>LTA</i>	rs2844482	Additive	CC	79 (64.8)	81 (68.1)	0.251*	-
			CT	36 (29.5)	36 (30.3)		
			TT	7 (5.7)	2 (1.7)		
		Dominant T	TT + CT	43 (35.2)	38 (31.9)	0.586*	1.16 (0.67 -1.98)
			CC	79 (64.8)	81 (68.1)		
		Recessive T	CC + CT	115 (94.3)	117 (98.3)	0.097*	0.28 (0.05 -1.38)
		TT	7 (5.7)	2 (1.7)			
<i>LTA</i>	rs2009658	Additive	GG	79 (66.4)	81 (68.1)	0.353*	-
			CG	34 (28.6)	36 (30.3)		
			CC	6 (5.0)	2 (1.7)		
		Dominant C	CC + CG	40 (33.6)	38 (31.9)	0.782*	1.07 (0.62 -1.85)
			GG	79 (66.4)	81 (68.1)		
		Recessive C	GG + CG	113 (95.0)	117 (98.3)	0.150*	0.32 (0.06 -1.62)
		CC	6 (5.0)	2 (1.7)			
<i>LTA</i>	rs2071590	Additive	CC	61 (50.0)	48 (40.7)	0.347*	-
			CT	51 (41.8)	58 (49.2)		
			TT	10 (8.2)	12 (10.2)		
		Dominant T	TT+CT	61 (50.0)	70 (59.3)	0.147*	0.68 (0.41 -1.14)
			CC	61 (50.0)	48 (40.7)		
		Recessive T	CC + CT	112 (91.8)	106 (89.8)	0.596*	1.26 (0.52 -1.68)
		TT	10 (8.2)	12 (10.2)			
<i>LTA</i>	rs2229094	Additive	AA	60 (49.2)	57 (47.9)	0.113*	-
			AG	46 (37.7)	55 (46.2)		
			GG	16 (13.1)	7 (5.9)		
		Dominant G	GG + AG	62 (50.8)	62 (52.1)	0.842*	0.95 (0.57-1.57)
			AA	60 (49.2)	57 (47.9)		
		Recessive G	AA + AG	106 (86.9)	112 (94.1)	0.056*	1.43 (1.05 – 1.93)
		GG	16 (13.3)	7 (5.9)			
<i>LTA</i>	rs2516312	Additive	AA	114 (94.2)	118 (99.2)	0.066*	-
			AG	7 (5.8)	1 (0.8)		
			GG	-	-		
		Dominant G	GG + AG	7 (5.8)	1 (0.8)	0.066*	0.13 (0.01-1.14)
			AA	114 (94.2)	118 (99.2)		
		Recessive G	AA + AG	121 (100.0)	119 (100.0)	-	-

			GG	-	-		
<i>LTA</i>	rs3093542	Additive	GG	114 (93.4)	109 (93.2)	0.584*	-
			CG	7 (5.7)	8 (6.8)		
			CC	1 (0.8)	-		
		Dominant G	GG + CG	121 (99.2)	117 (100.0)	0.326*	1.96 (0.73-2.22)
			CC	1 (0.8)	-		
		Recessive G	CC + CG	8 (6.6)	8 (6.8)	0.931*	0.95 (0.34-2.63)
			GG	114 (93.4)	109 (93.2)		
<i>LTB</i>	rs3093553	Additive	AA	106 (87.6)	103 (86.6)	0.809*	-
			CA	15 (12.4)	16 (13.4)		
			CC	-	-		
		Dominant C	CC + CA	15 (12.4)	16 (13.4)	0.809*	1.09 (0.51 – 2.33)
			AA	106 (87.6)	103 (86.6)		
		Recessive C	AA + CA	121 (100.0)	119 (100.0)	-	-
			CC	-	-		
<i>TNFA</i>	rs2228088	Additive	GG	122 (100.0)	118 (98.3)	0.152*	-
			GT	-	2 (1.7)		
			TT	-	-		
		Dominant T	TT + GT	-	2 (1.7)	0.152*	2.03 (1.78 – 2.31)
			GG	122 (100.0)	118 (98.3)		
		Recessive T	GG + GT	122 (100.0)	120 (100.0)	-	-
			TT	-	-		
<i>TNFA</i>	rs1800629	Additive	GG	100 (82.0)	98 (82.4)	-	-
			AG	22 (18.0)	21 (17.6)		
			AA	-	-		
		Dominant A	AA + AG	22 (18.0)	21 (17.9)	0.987*	0.97 (0.50 – 1.88)
			GG	100 (82.0)	98 (82.4)		
		Recessive A	GG + AG	119 (100.0)	122 (100.0)	-	-
			AA	-	-		

1 <sup>a</sup> SNP identifier based on NCBI dbSNP

2 \* p-value for Pearson chi-square test

3 OR Odds Ratio

4 CI (confidence interval)

5 The difference in n values refer to failure in genotyping

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7 **Table 4.** Results after multivariate analysis using a binary logistic regression  
 8 model by the backward conditional method.  
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Variable	p-value*	OR (CI 95%)
Age	0.000	1.08 (1.05-1.11)
Sex	0.000	4.14 (2.22-7.72)
Smoking habit	0.002	4.66 (7.99-12.11)
Rs2229094RecG	0.012	3.86 (1.35-11.03)

10 \*p-value after multivariate analysis using the binary logistic regression model  
 11 OR Odds Ratio  
 12 CI (confidence interval)  
 13

14 **Table 5.** The calculation of the sample power was performed using the Genetic  
 15 Power Calculation (Purcell et al., 2003) setting as parameters: prevalence of  
 16 hemodialysis patients of 0.061% in the Brazilian population (Brazilian Census of  
 17 Nephrology, 2018), effect size from 2.0 for carrying one copy of the risk allele and  
 18 4.0 carrying two copies of the risk allele, D'= 1, frequency of the rare allele of 30%,  
 19 1:1 case and control ratio and level of significance of 0.05, with the power variation  
 20 being dependent on the relative risk of the rare allele.  
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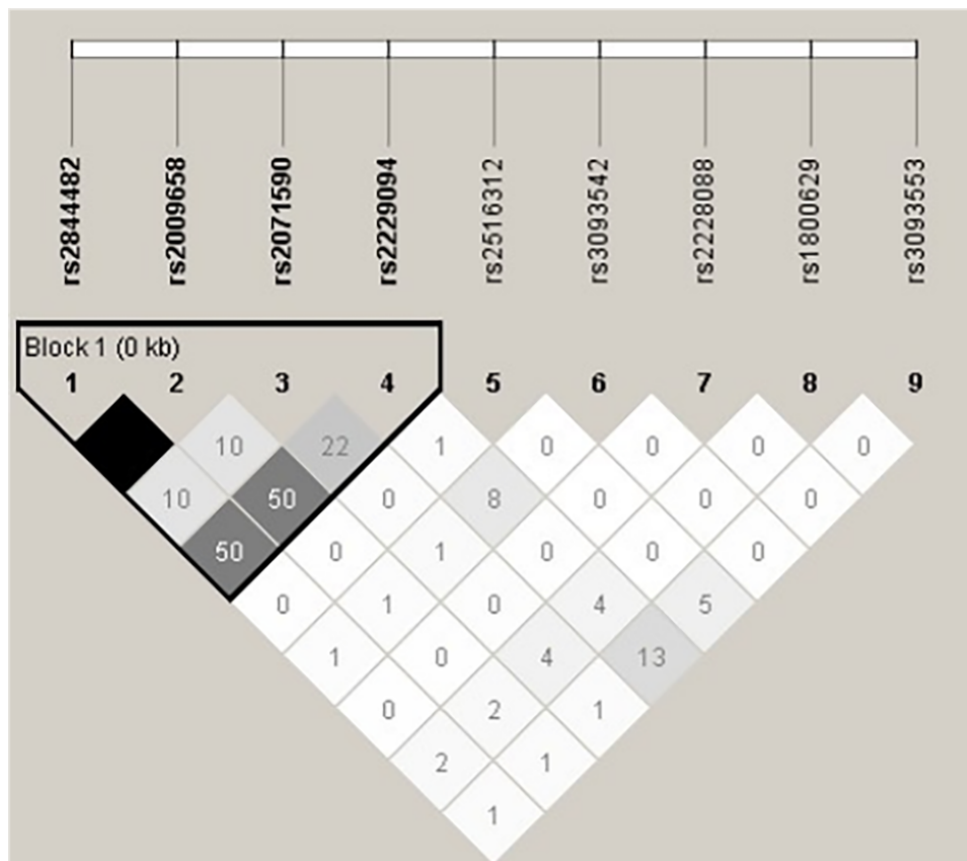
Allele Frequency	Power	N cases for 80% power
0.05	0.12	1526
0.1	0.31	429
0.2	0.76	132
0.3	0.95	70

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 23 \*Power of the sample when the rarer allele frequency is 30%  
 24 <sup>a</sup> n cases for 80% power when the rarer allele frequency is 30%  
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40 **Figures**

41 **Fig 1** Analysis of linkage disequilibrium (LD) between the selected *LTA*, *TNFA* and  
 42 *LTB* tag SNPs in the studied population. The LD value was shown inside the  
 43 squares and the number indicates the ratio in percent (%). The intensity of  
 44 the color within the squares reflects the LD between two tag SNPs. A darker  
 45 intensity represents the largest LD among the tag SNPs (1.0), while light gray  
 46 and white regions represent lower LD (<1.0).



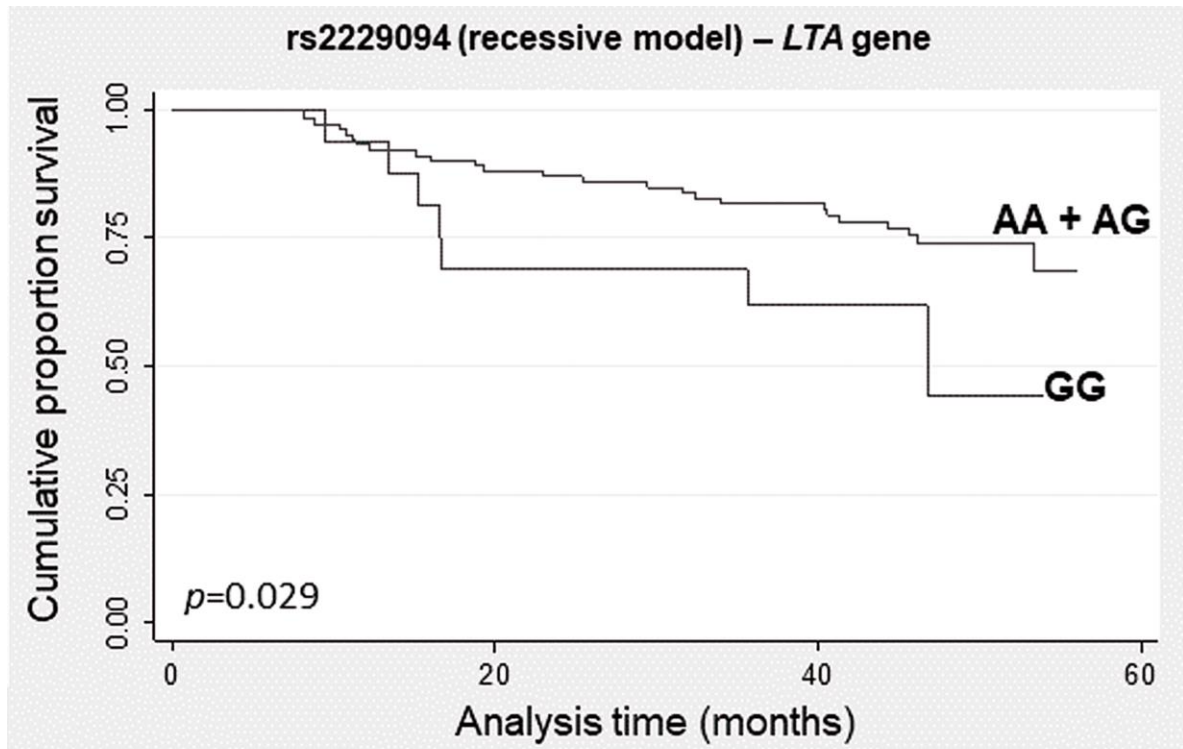
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74 **Fig 2** The probability of survival in hemodialysis patients with respect to *LTA*  
75 rs2229094 (allele G recessive model), rs2844482 (additive model) and  
76 rs2844482 (allele T recessive model) polymorphic variants.

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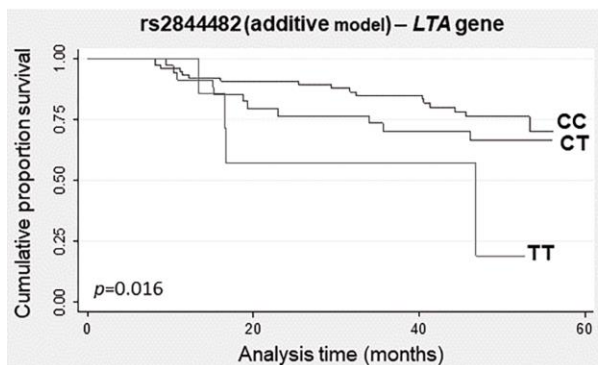
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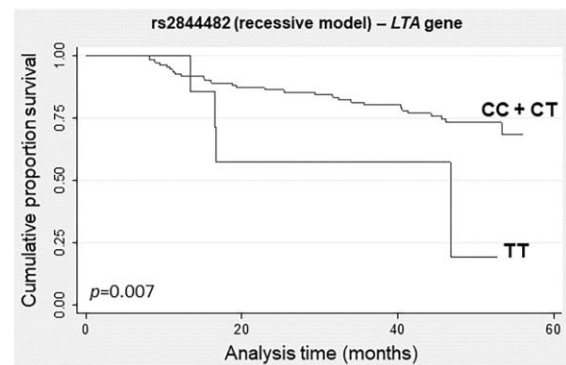
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199 Parecer do Comitê de Ética em Pesquisa

 <p>Comitê de Ética em Pesquisa da PUCPR</p>	<b>ASSOCIAÇÃO PARANAENSE DE CULTURA - PUCPR</b>	
<b>PARECER CONSUBSTANCIADO DO CEP</b>		
<b>DADOS DO PROJETO DE PESQUISA</b>		
<b>Título da Pesquisa:</b> ANÁLISE DA ASSOCIAÇÃO GENÉTICA E A SUSCETIBILIDADE À DOENÇA RENAL E A DOENÇA PERIODONTAL		
<b>Pesquisador:</b> CLEBER MACHDO DE SOLZA		
<b>Área Temática:</b> Genética Humana: (Trata-se de pesquisa envolvendo Genética Humana que não necessita de análise ética por parte da CONEP.);		
<b>Versão:</b> 3		
<b>CAAE:</b> 26141813.4.0000.0020		
<b>Instituição Proponente:</b> Pontifícia Universidade Católica do Paraná - PUCPR		
<b>Patrocinador Principal:</b> Associação Paranaense de Cultura - PUCPR		
<b>DADOS DO PARECER</b>		
<b>Número do Parecer:</b> 554.900		
<b>Data da Realização:</b> 12/03/2014		
<b>Apresentação do Projeto:</b> A emenda inclui os genes Interleucinas (1, 4, 6, 8, 10, 12 e 17), do fator de necrose tumoral (TNF) e de Interferons (IFN) para que estes possam ser avaliados quanto a sua associação com a doença renal crônica (DRC) e com a doença periodontal (DP), já que os mesmos estão envolvidos na resposta imune e inflamatória nessas duas doenças.  Esta emenda está vinculada ao projeto principal.		
<b>Objetivo da Pesquisa:</b> <b>Objetivo Primário:</b> O objetivo do presente trabalho é investigar a associação entre polimorfismos nos genes das interleucinas (1, 4, 6, 8, 10, 12 e 17), do fator de necrose tumoral (TNF) e de Interferons (IFN), que estão envolvidos na resposta imune e inflamatória na doença renal crônica e a doença periodontal		
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